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INTELLECTUAL PROPERTY LAW

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TO:
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FROM:
Binal J. Patel

COMPANY:
U.S.P.T.O.

DATE:
October 16, 2002

FAX NO.:
703-746-8878

TOTAL NO. OF PAGES: (including cover sheet)
9

YOUR REFERENCE NO.:

OUR REFERENCE (C/M) NO.:
11738.86893

RE: U.S. Serial No. 09/560,064
"Patient Directed Therapy Management"
Group Art Unit: 3736

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**CERTIFICATE OF MAILING
(PATENT APPLICATION)**

Express Mail No. EL949630735US

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By: _____

Application of: Bauhahn et al.

Application No.: 09/560,064

Filing Date: April 27, 2000

Title: Patient Directed Therapy Management

Transmitted herewith are the following documents:

- ☒ Transmittal Form (1 page) in duplicate
- ☒ Fee Transmittal (1 page) in duplicate
- ☒ Preliminary Amendment (3 pages)
- ☒ Return Postcard

Attorney Case No.: 11738.86893



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☒ UTILITY ☐ DESIGN PATENT B&W Ref. 11738 86893 Date 10-11-02
 Serial/Parent No. 246562 064 BOX Medtronic Att/Sec B72-Hub
 Inventor Baukhan et al. Client Medtronic
 Title Patent Directed Therapy Management

The following has been received in the U.S. Patent and Trademark Office on the date stamped herein:

☒ Transmittal ☒ Fee Transmittal w/ Auth. to Charge Deposit Account ☐ Response to Restriction/Election Requirement
☐ Nonpublication Request Under 35 USC 122(b)(2)(B)(i) PTO/SB/35 ☐ Amendment ☐ Response: OA due
☐ Application Data Sheet (ADS): ☐ Initial ☐ Supplemental ☐ Petition for Extension of Time until
☐ total pp Spec. including: # of Claims ☐ CPA ☐ RCE ☐ w/Ext of Time: OA due
☐ (# of independent claims) ☐ Abstract ☐ Notice of Appeal & Fee
☐ Drawings: ☐ Formal ☐ Informal ☐ Brief: ☐ Appeal & Fee ☐ Reply
☐ # of distinct sheets ☐ Figs ☐ Request for Oral Hearing
☐ Declaration/Power of Attorney: ☐ Executed ☐ Unexecuted ☐ Submission of Formal Drawings
☐ Assignment w/ Recordation Form Cover Sheet PTO-1595 ☐ Amendment under 37 CFR 1.312
☐ IDS ☐ PTO/SB/08A ☐ PTO/SB/42 ☐ References ☐ w/Fee ☐ Fee(s) Transmittal (in duplicate) ☐ Issue Fee ☐ Publication Fee
☒ Preliminary Amendment ☐ Advance Order (# of Copies)
☐ Priority Claim (Foreign or U.S. Provisional: B&W #) ☐ "Fee Address" Indication Form PTO/SB/47
☐ Country Appl. # Date ☐ Request for Certificate of Correction
☐ w/Foreign Priority Document(s) ☐ Maintenance Fee Transmittal PTO/SB/45 (in duplicate)
☐ Application: ☐ CIP ☐ Continuation ☐ Divisional ☒ Certificate of Mailing by Express Mail Under 37 CFR 1.10
☐ Parent Ser. No. B&W# ☒ Please Charge \$ 246 to Deposit Account No. 19-0733
☐ U.S. Provisional pp Spec/Claims: Cover Sheet
☐ Response to Notice of Missing Parts/Requirements due
☐ Response to Notice to File Corrected Appln. Papers due
☐ Request for Corrected: ☐ Filing Receipt ☐ Assignment

Banner & Witcoff, Ltd., Ten South Wacker Drive, Chicago, Illinois 60606-7407

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PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

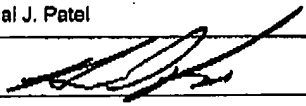
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/560,064	
	Filing Date	April 27, 2000	
	First Named Inventor	Bauhahn et al.	
	Group Art Unit	3736	
	Examiner Name	n/a	
Total Number of Pages in This Submission	5	Attorney Docket Number	11738.86893

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Express Mail Certificate Return Receipt Postcard
Remarks		The Commissioner is hereby authorized to charge any deficiencies in payment or credit any over payment to our Deposit Account 19-0733.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Banner & Witcoff, Ltd. Binal J. Patel
Signature	
Date	October 11, 2002

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 246**Complete if Known**

Application Number 00/580,064

Filing Date April 27, 2000

First Named Inventor Ruth Bauhahn

Examiner Name n/a

Group / Art Unit 3736

Attorney Docket No. 011738.00088

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
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☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	100	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$) 0

2. EXTRA CLAIM FEES

					Extra Claims		Fee from below		Fee Paid
Total Claims	39	-30 **	=	9		X	18	=	162
Independent Claims	5	-4 **	=	1		X	84	=	84
Multiple Dependent						X		=	0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$) 246

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (a)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (Times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	


Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 0

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Binal J. Patel	Registration No. Attorney/Agent)	42,065	Telephone	312.715.1000
Signature		Date	October 11, 2002		

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